

Suita Conjecture and the Ohsawa-Takegoshi Extension Theorem

Zbigniew Błocki
(Jagiellonian University, Kraków, Poland)

<http://gamma.im.uj.edu.pl/~blocki>

Hayama Symposium on Complex Analysis in Several Variables XV
July 23, 2012

Green function for bounded domain D in \mathbb{C} :

$$\begin{cases} \Delta G_D(\cdot, z) = 2\pi\delta_z \\ G_D(\cdot, z) = 0 \text{ on } \partial D \text{ (if } D \text{ is regular)} \end{cases}$$

$$c_D(z) := \exp \lim_{\zeta \rightarrow z} (G_D(\zeta, z) - \log |\zeta - z|)$$

(logarithmic capacity of $\mathbb{C} \setminus D$ w.r.t. z)

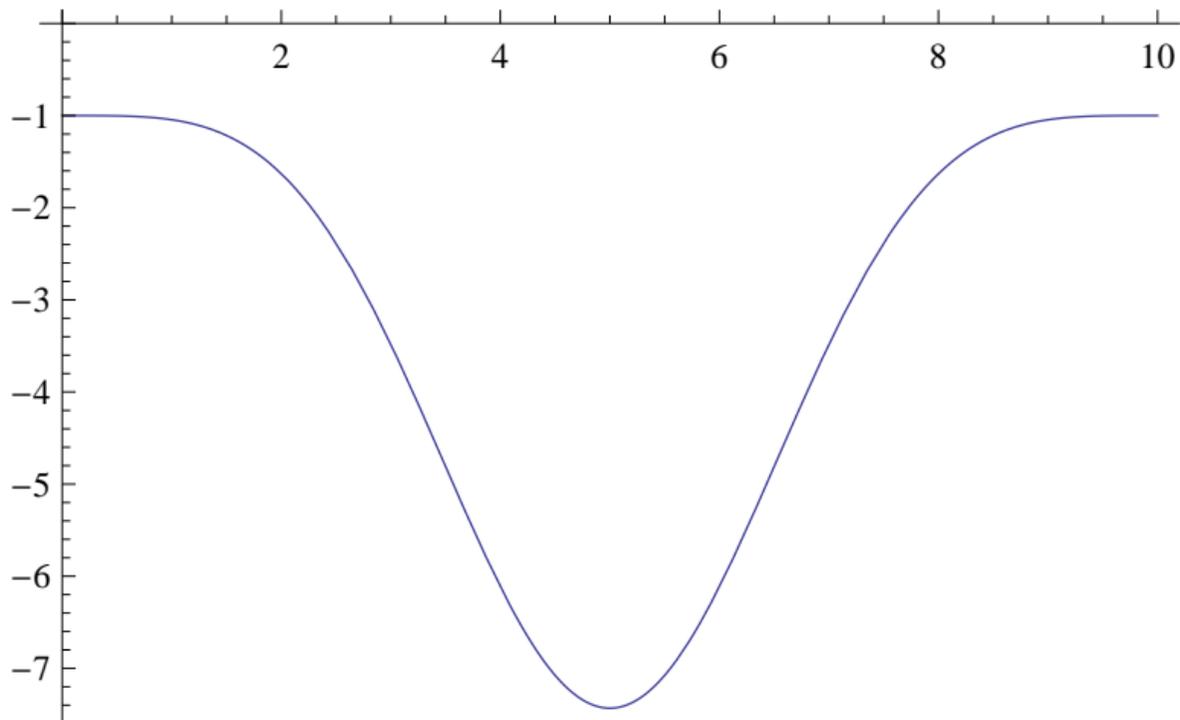
$c_D|dz|$ is an invariant metric ([Suita metric](#))

$$\text{Curv}_{c_D|dz|} = -\frac{(\log c_D)_{z\bar{z}}}{c_D^2}$$

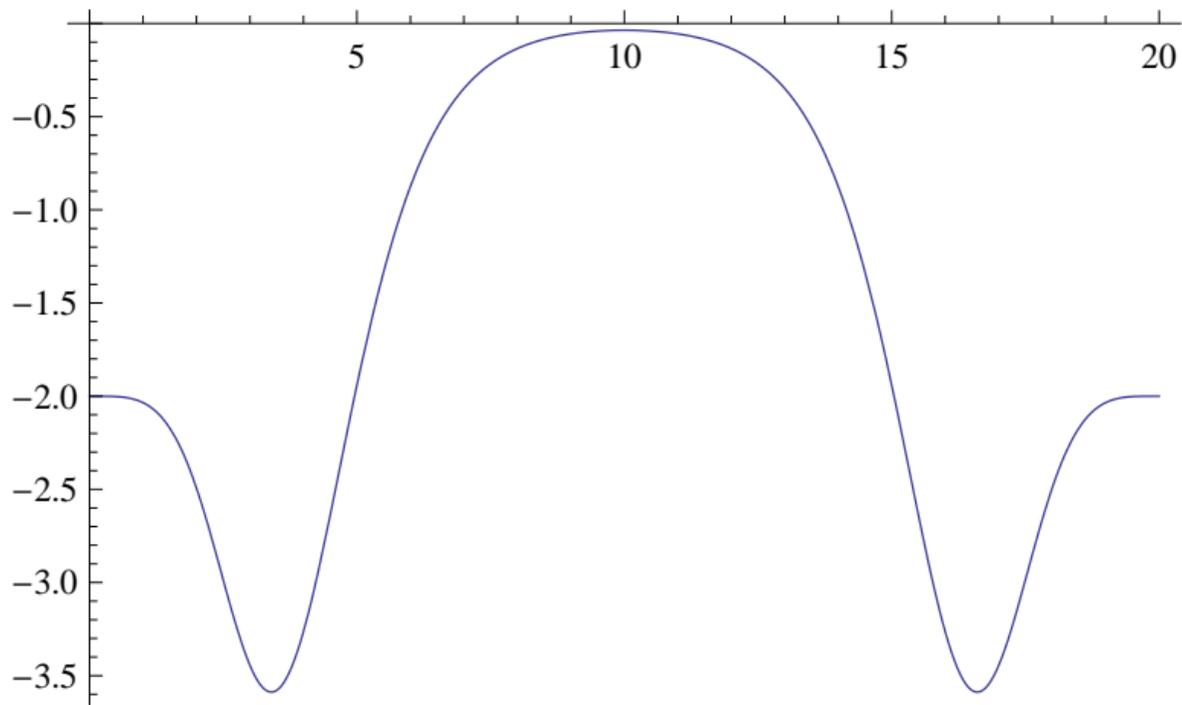
[Suita conjecture \(1972\)](#):

$$\text{Curv}_{c_D|dz|} \leq -1$$

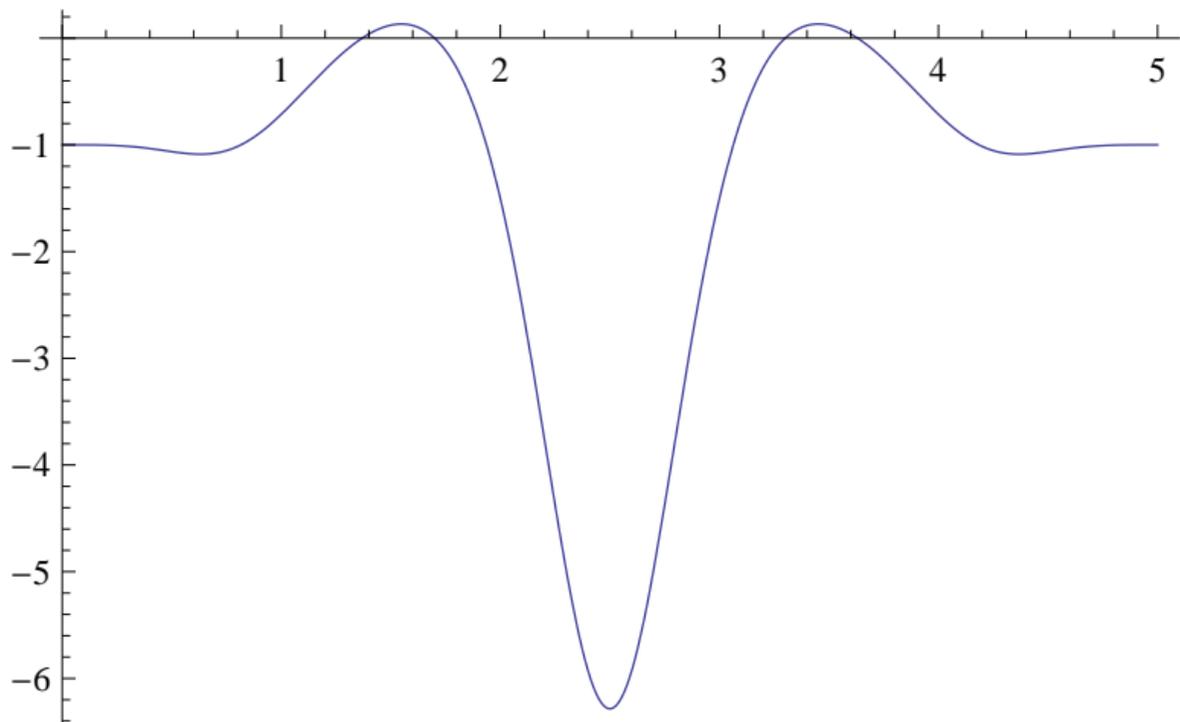
- “=” if D is simply connected
- “<” if D is an annulus (Suita)
- Enough to prove for D with smooth boundary
- “=” on ∂D if D has smooth boundary



$Curv_{c_D|dz|}$ for $D = \{e^{-5} < |z| < 1\}$ as a function of $t = -2 \log |z|$



$Curv_{K_D}|dz|^2$ for $D = \{e^{-10} < |z| < 1\}$ as a function of $t = -2 \log |z|$



$Curv_{(\log K_D)_{z\bar{z}}|dz|^2}$ for $D = \{e^{-5} < |z| < 1\}$ as a function of $t = -2 \log |z|$

Suita showed that

$$\frac{\partial^2}{\partial z \partial \bar{z}} (\log c_D) = \pi K_D,$$

where

$$K_D(z) := \sup\{|f(z)|^2 : f \text{ holomorphic in } D, \int_D |f|^2 d\lambda \leq 1\}$$

is the Bergman kernel on the diagonal. Therefore the Suita conjecture is equivalent to the inequality

$$c_D^2 \leq \pi K_D.$$

It is thus an extension problem: for $z \in D$ find holomorphic f in D such that $f(z) = 1$ and

$$\int_D |f|^2 d\lambda \leq \frac{\pi}{(c_D(z))^2}.$$

Ohsawa (1995), using the methods of the Ohsawa-Takegoshi extension theorem, showed the estimate

$$c_D^2 \leq C\pi K_D$$

with $C = 750$. This was later improved to $C = 2$ (B., 2007) and to $C = 1.954$ (Guan-Zhou-Zhu, 2011).

Ohsawa-Takegoshi Extension Theorem, 1987

Ω - bounded pseudoconvex domain in \mathbb{C}^n , φ - psh in Ω

H - complex affine subspace of \mathbb{C}^n

f - holomorphic in $\Omega' := \Omega \cap H$

Then there exists a holomorphic extension F of f to Ω such that

$$\int_{\Omega} |F|^2 e^{-\varphi} d\lambda \leq C(n, \text{diam } \Omega) \int_{\Omega'} |f|^2 e^{-\varphi} d\lambda'.$$

Theorem (Berndtsson, 1996)

Ω - pseudoconvex in $\mathbb{C}^{n-1} \times \{|z_n| < 1\}$, φ - psh in Ω

f - holomorphic in $\Omega' := \Omega \cap \{z_n = 0\}$

Then there exists a holomorphic extension F of f to Ω such that

$$\int_{\Omega} |F|^2 e^{-\varphi} d\lambda \leq 4\pi \int_{\Omega'} |f|^2 e^{-\varphi} d\lambda'.$$

Theorem (Ohsawa, 2001, Ż. Dinew, 2007)

Ω - pseudoconvex in $\mathbb{C}^{n-1} \times D$, where $0 \in D \subset \mathbb{C}$, φ - psh in Ω ,

f - holomorphic in $\Omega' := \Omega \cap \{z_n = 0\}$

Then there exists a holomorphic extension F of f to Ω such that

$$\int_{\Omega} |F|^2 e^{-\varphi} d\lambda \leq \frac{4\pi}{(c_D(0))^2} \int_{\Omega'} |f|^2 e^{-\varphi} d\lambda'.$$

In 2011 B.-Y. Chen showed that the Ohsawa-Takegoshi extension theorem can be shown using directly Hörmander's estimate for $\bar{\partial}$ -equation!

Hörmander's Estimate (1965)

Ω - pseudoconvex in \mathbb{C}^n , φ - smooth, strongly psh in Ω

$$\alpha = \sum_j \alpha_j d\bar{z}_j \in L^2_{loc,(0,1)}(\Omega), \quad \bar{\partial}\alpha = 0$$

Then one can find $u \in L^2_{loc}(\Omega)$ with $\bar{\partial}u = \alpha$ and

$$\int_{\Omega} |u|^2 e^{-\varphi} d\lambda \leq \int_{\Omega} |\alpha|_{i\bar{\partial}\bar{\partial}\varphi}^2 e^{-\varphi} d\lambda.$$

Here $|\alpha|_{i\bar{\partial}\bar{\partial}\varphi}^2 = \sum_{j,k} \varphi^{j\bar{k}} \bar{\alpha}_j \alpha_k$, where $(\varphi^{j\bar{k}}) = (\partial^2\varphi/\partial z_j \partial \bar{z}_k)^{-1}$ is the length of α w.r.t. the Kähler metric $i\bar{\partial}\bar{\partial}\varphi$.

The estimate also makes sense for non-smooth φ : instead of $|\alpha|_{i\bar{\partial}\bar{\partial}\varphi}^2$ one has to take any nonnegative $H \in L^\infty_{loc}(\Omega)$ with

$$i\bar{\alpha} \wedge \alpha \leq H i\bar{\partial}\bar{\partial}\varphi$$

(B., 2005).

Donnelly-Feffermann's Estimate (1982)

Ω - pseudoconvex, φ, ψ -psh in Ω

$|\bar{\partial}\psi|_{i\partial\bar{\partial}\psi}^2 \leq 1$ (that is $i\partial\psi \wedge \bar{\partial}\psi \leq i\partial\bar{\partial}\psi$)

$\alpha \in L_{loc,(0,1)}^2(\Omega)$, $\bar{\partial}\alpha = 0$

Then one can find $u \in L_{loc}^2(\Omega)$ with $\bar{\partial}u = \alpha$ and

$$\int_{\Omega} |u|^2 e^{-\varphi} d\lambda \leq 4 \int_{\Omega} |\alpha|_{i\partial\bar{\partial}\psi}^2 e^{-\varphi} d\lambda.$$

Berndtsson's Estimate (1996)

$\Omega, \varphi, \psi, \alpha$ as above

Then, if $0 \leq \delta < 1$, one can find $u \in L_{loc}^2(\Omega)$ with $\bar{\partial}u = \alpha$ and

$$\int_{\Omega} |u|^2 e^{\delta\psi - \varphi} d\lambda \leq \frac{4}{(1-\delta)^2} \int_{\Omega} |\alpha|_{i\partial\bar{\partial}\psi}^2 e^{\delta\psi - \varphi} d\lambda.$$

Theorem (B. 2004 & 2012)

The constants in the above estimates are optimal.

Theorem. Ω - pseudoconvex in \mathbb{C}^n , φ - psh in Ω

$$\alpha \in L^2_{loc,(0,1)}(\Omega), \bar{\partial}\alpha = 0$$

$\psi \in W^{1,2}_{loc}(\Omega)$ locally bounded from above, s.th.

$$|\bar{\partial}\psi|_{i\partial\bar{\partial}\varphi}^2 \begin{cases} \leq 1 & \text{in } \Omega \\ \leq \delta < 1 & \text{on } \text{supp } \alpha. \end{cases}$$

Then there exists $u \in L^2_{loc}(\Omega)$ with $\bar{\partial}u = \alpha$ and

$$\int_{\Omega} |u|^2 (1 - |\bar{\partial}\psi|_{i\partial\bar{\partial}\varphi}^2) e^{2\psi - \varphi} d\lambda \leq \frac{1 + \sqrt{\delta}}{1 - \sqrt{\delta}} \int_{\Omega} |\alpha|_{i\partial\bar{\partial}\varphi}^2 e^{2\psi - \varphi} d\lambda.$$

Proof. (Ideas going back to Berndtsson and B.-Y. Chen.) By approximation we may assume that φ is smooth up to the boundary and strongly psh, and ψ is bounded.

u - minimal solution to $\bar{\partial}u = \alpha$ in $L^2(\Omega, e^{\psi - \varphi})$

$\Rightarrow u \perp \ker \bar{\partial}$ in $L^2(\Omega, e^{\psi - \varphi})$

$\Rightarrow v := ue^{\psi} \perp \ker \bar{\partial}$ in $L^2(\Omega, e^{-\varphi})$

$\Rightarrow v$ - minimal solution to $\bar{\partial}v = \beta := e^{\psi}(\alpha + u\bar{\partial}\psi)$ in $L^2(\Omega, e^{-\varphi})$

By Hörmander's estimate

$$\int_{\Omega} |v|^2 e^{-\varphi} d\lambda \leq \int_{\Omega} |\beta|_{i\partial\bar{\partial}\varphi}^2 e^{-\varphi} d\lambda.$$

Therefore

$$\begin{aligned} \int_{\Omega} |u|^2 e^{2\psi - \varphi} d\lambda &\leq \int_{\Omega} |\alpha + u \bar{\partial}\psi|_{i\partial\bar{\partial}\varphi}^2 e^{2\psi - \varphi} d\lambda \\ &\leq \int_{\Omega} \left(|\alpha|_{i\partial\bar{\partial}\varphi}^2 + 2|u|\sqrt{H}|\alpha|_{i\partial\bar{\partial}\varphi} + |u|^2 H \right) e^{2\psi - \varphi} d\lambda, \end{aligned}$$

where $H = |\bar{\partial}\psi|_{i\partial\bar{\partial}\varphi}^2$. For $t > 0$ we will get

$$\begin{aligned} \int_{\Omega} |u|^2 (1 - H) e^{2\psi - \varphi} d\lambda &\leq \int_{\Omega} \left[|\alpha|_{i\partial\bar{\partial}\varphi}^2 \left(1 + t^{-1} \frac{H}{1 - H} \right) + t|u|^2 (1 - H) \right] e^{2\psi - \varphi} d\lambda \\ &\leq \left(1 + t^{-1} \frac{\delta}{1 - \delta} \right) \int_{\Omega} |\alpha|_{i\partial\bar{\partial}\varphi}^2 e^{2\psi - \varphi} d\lambda \\ &\quad + t \int_{\Omega} |u|^2 (1 - H) e^{2\psi - \varphi} d\lambda. \end{aligned}$$

We will obtain the required estimate if we take $t := 1/(\delta^{-1/2} + 1)$.

Remark. This estimate implies Donnelly-Feffermann and Berndtsson's estimates: for psh φ, ψ with $|\bar{\partial}\psi|_{i\partial\bar{\partial}\psi}^2 \leq 1$ and $\delta < 1$ set

$$\tilde{\varphi} := (2 - \delta)\psi + \varphi.$$

Then $2\psi - \tilde{\varphi} = \delta\psi - \varphi$

$$|\bar{\partial}\psi|_{i\partial\bar{\partial}\tilde{\varphi}}^2 \leq \frac{1}{2 - \delta} =: \tilde{\delta}$$

and

$$|\alpha|_{i\partial\bar{\partial}\tilde{\varphi}}^2 \leq \tilde{\delta}|\alpha|_{i\partial\bar{\partial}\psi}^2.$$

We will get Berndtsson's estimate with the constant

$$\frac{\tilde{\delta}(1 + \sqrt{\tilde{\delta}})}{(1 - \sqrt{\tilde{\delta}})(1 - \tilde{\delta})} = \frac{1}{(\sqrt{2 - \delta} - 1)^2}.$$

Theorem (Ohsawa-Takegoshi with optimal constant)

Ω - pseudoconvex in $\mathbb{C}^{n-1} \times D$, where $0 \in D \subset \mathbb{C}$,

φ - psh in Ω , f - holomorphic in $\Omega' := \Omega \cap \{z_n = 0\}$

Then there exists a holomorphic extension F of f to Ω such that

$$\int_{\Omega} |F|^2 e^{-\varphi} d\lambda \leq \frac{\pi}{(c_D(0))^2} \int_{\Omega'} |f|^2 e^{-\varphi} d\lambda'.$$

Sketch of proof. By approximation may assume that Ω is bounded, smooth, strongly pseudoconvex, φ is smooth up to the boundary, and f is holomorphic in a neighborhood of $\overline{\Omega'}$.

$\varepsilon > 0$

$$\alpha := \bar{\partial}(f(z')\chi(-2\log|z_n|)),$$

where $\chi(t) = 0$ for $t \leq -2\log\varepsilon$ and $\chi(\infty) = 1$.

$$G := G_D(\cdot, 0)$$

$$\tilde{\varphi} := \varphi + 2G + \eta(-2G)$$

$$\psi := \gamma(-2G)$$

$F := f(z')\chi(-2\log|z_n|) - u$, where u is a solution of $\bar{\partial}u = \alpha$ given by the previous thm.

Crucial ODE Problem

Find $g \in C^{0,1}(\mathbb{R}_+)$, $h \in C^{1,1}(\mathbb{R}_+)$ such that $h' < 0$, $h'' > 0$,

$$\lim_{t \rightarrow \infty} (g(t) + \log t) = \lim_{t \rightarrow \infty} (h(t) + \log t) = 0$$

and

$$\left(1 - \frac{(g')^2}{h''}\right) e^{2g-h+t} \geq 1.$$

Crucial ODE Problem

Find $g \in C^{0,1}(\mathbb{R}_+)$, $h \in C^{1,1}(\mathbb{R}_+)$ such that $h' < 0$, $h'' > 0$,

$$\lim_{t \rightarrow \infty} (g(t) + \log t) = \lim_{t \rightarrow \infty} (h(t) + \log t) = 0$$

and

$$\left(1 - \frac{(g')^2}{h''}\right) e^{2g-h+t} \geq 1.$$

Solution:

$$h(t) := -\log(t + e^{-t} - 1)$$

$$g(t) := -\log(t + e^{-t} - 1) + \log(1 - e^{-t}).$$