

# Bergman Kernel and Pluripotential Theory

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# Bergman Completeness

$\Omega$  bounded domain in  $\mathbb{C}^n$

$$H^2(\Omega) = \mathcal{O}(\Omega) \cap L^2(\Omega)$$

$K_\Omega(\cdot, \cdot)$  Bergman kernel

$$f(w) = \int_{\Omega} f \overline{K_\Omega(\cdot, w)} d\lambda, \quad w \in \Omega, f \in H^2(\Omega)$$

$$\begin{aligned} K_\Omega(w) &= K_\Omega(w, w) \\ &= \sup\{|f(w)|^2 : f \in H^2(\Omega), \|f\| \leq 1\} \end{aligned}$$

$\Omega$  is called **Bergman complete** if it is complete w.r.t. the Bergman metric  $B_\Omega = i\partial\bar{\partial} \log K_\Omega$

Kobayashi Criterion (1959) If

$$\lim_{w \rightarrow \partial\Omega} \frac{|f(w)|^2}{K_{\Omega}(w)} = 0, \quad f \in H^2(\Omega),$$

then  $\Omega$  is Bergman complete.

The opposite is not true even for  $n = 1$  (Zwonek, 2001).

Kobayashi Criterion easily follows using the embedding

$$\iota : \Omega \ni w \mapsto [K_{\Omega}(\cdot, w)] \in \mathbb{P}(H^2(\Omega))$$

and the fact that  $\iota^* \omega_{FS} = B_{\Omega}$ .

Since  $\iota$  is distance decreasing,

$$\text{dist}_{\Omega}^B(z, w) \geq \arccos \frac{|K_{\Omega}(z, w)|}{\sqrt{K_{\Omega}(z)K_{\Omega}(w)}}.$$

# Some Pluripotential Theory

$\Omega$  is called **hyperconvex** if it admits a negative plurisubharmonic (psh) exhaustion function ( $u \in PSH^-(\Omega)$  s.th.  $u = 0$  on  $\partial\Omega$ ).

**Demailly (1985)** If  $\Omega$  is pseudoconvex with Lipschitz boundary then it is hyperconvex.

**Pluricomplex Green function** For a pole  $w \in \Omega$  we set

$$G_{\Omega}(\cdot, w) = G_w = \sup\{v \in PSH^-(\Omega) : v \leq \log|\cdot - w| + C\}$$

**Lempert (1981)**  $\Omega$  convex  $\Rightarrow G_{\Omega}$  symmetric

**Demailly (1985)**  $\Omega$  hyperconvex  $\Rightarrow e^{G_{\Omega}} \in C(\bar{\Omega} \times \Omega)$

**Open Problem**  $e^{G_{\Omega}} \in C(\bar{\Omega} \times \bar{\Omega} \setminus \Delta_{\partial\Omega})$

Equivalently:  $G(\cdot, w_k) \rightarrow 0$  loc. uniformly as  $w_k \rightarrow \partial\Omega$ ?

True if  $\partial\Omega \in C^2$  (Herbort, 2000)

Demailly (1985) If  $\Omega$  is hyperconvex then  $G_w = G_\Omega(\cdot, w)$  is the unique solution to

$$\begin{cases} u \in PSH(\Omega) \cap C(\bar{\Omega} \setminus \{w\}) \\ (dd^c u)^n = (2\pi)^n \delta_w \\ u = 0 \text{ on } \partial\Omega \\ u \leq \log |\cdot - w| + C \end{cases}$$

B. (2000) If  $\Omega$  is smooth and strongly pseudoconvex then  $G_w \in C^{1,1}(\bar{\Omega} \setminus \{w\})$ .

B. (1995) If  $\Omega$  is hyperconvex then  $\exists! u = u_\Omega$  s.th.

$$\begin{cases} u \in PSH(\Omega) \cap C(\bar{\Omega}) \\ (dd^c u)^n = 1 d\lambda \\ u = 0 \text{ on } \partial\Omega. \end{cases}$$

B.-Y. Chen, Pflug - B. / Herbort (1998)

Hyperconvex domains are Bergman complete

**Herbort** If  $\Omega$  is pseudoconvex then

$$\frac{|f(w)|^2}{K_{\Omega}(w)} \leq c_n \int_{\{G_w < -1\}} |f|^2 d\lambda, \quad w \in \Omega, f \in H^2(\Omega).$$

**Corollary**  $\lim_{w \rightarrow \partial\Omega} \lambda(\{G_w < -1\}) = 0 \Rightarrow \Omega$  is Bergman complete

**Proposition** If  $\Omega$  is hyperconvex then

$$\lim_{w \rightarrow \partial\Omega} \|G_w\|_{L^n(\Omega)} = 0.$$

**Sketch of proof**  $\|G_w\|_n^n = \int_{\Omega} |G_w|^n (dd^c u_{\Omega})^n$

$$\leq n! \|u_{\Omega}\|_{\infty}^{n-1} \int_{\Omega} |u_{\Omega}| (dd^c G_w)^n \leq C(n, \lambda(\Omega)) |u_{\Omega}(w)|$$

# Lower Bound for the Bergman Distance

Diederich-Ohsawa (1994), B. (2005) If  $\Omega$  is pseudoconvex with  $C^2$  boundary then

$$\text{dist}_{\Omega}^B(\cdot, w) \geq \frac{\log \delta_{\Omega}^{-1}}{C \log \log \delta_{\Omega}^{-1}},$$

where  $\delta_{\Omega}(z) = \text{dist}_{\Omega}(z, \partial\Omega)$ .

Pluripotential theory is the main tool in proving this estimate, in particular we have the following:

B. (2005) If  $\Omega$  is pseudoconvex and  $z, w \in \Omega$  are such that

$$\{G_z < -1\} \cap \{G_w < -1\} = \emptyset$$

then

$$\text{dist}_{\Omega}^B(z, w) \geq c_n > 0.$$

Open Problem  $\text{dist}_{\Omega}^B(\cdot, w) \geq \frac{1}{C} \log \delta_{\Omega}^{-1}$

From Herbort's estimate

$$\frac{|f(w)|^2}{K_{\Omega}(w)} \leq c_n \int_{\{G_w < -1\}} |f|^2 d\lambda, \quad w \in \Omega, f \in H^2(\Omega),$$

for  $f \equiv 1$  we get

$$K_{\Omega}(w) \geq \frac{1}{c_n \lambda(\{G_w < -1\})}.$$

To find the optimal constant  $c_n$  here turns out to have very interesting consequences!

**Herbort (1999)**  $c_n = 1 + 4e^{4n+3+R^2}$ , where  $\Omega \subset B(z_0, R)$   
(Main tool: Hörmander's estimate for  $\bar{\partial}$ )

**B. (2005)**  $c_n = (1 + 4/Ei(n))^2$ , where  $Ei(t) = \int_t^{\infty} \frac{ds}{se^s}$   
(Main tool: Donnelly-Fefferman's estimate for  $\bar{\partial}$ )

# Suita Conjecture

$D$  bounded domain in  $\mathbb{C}$

$$c_D(z) := \exp \lim_{\zeta \rightarrow z} (G_D(\zeta, z) - \log |\zeta - z|)$$

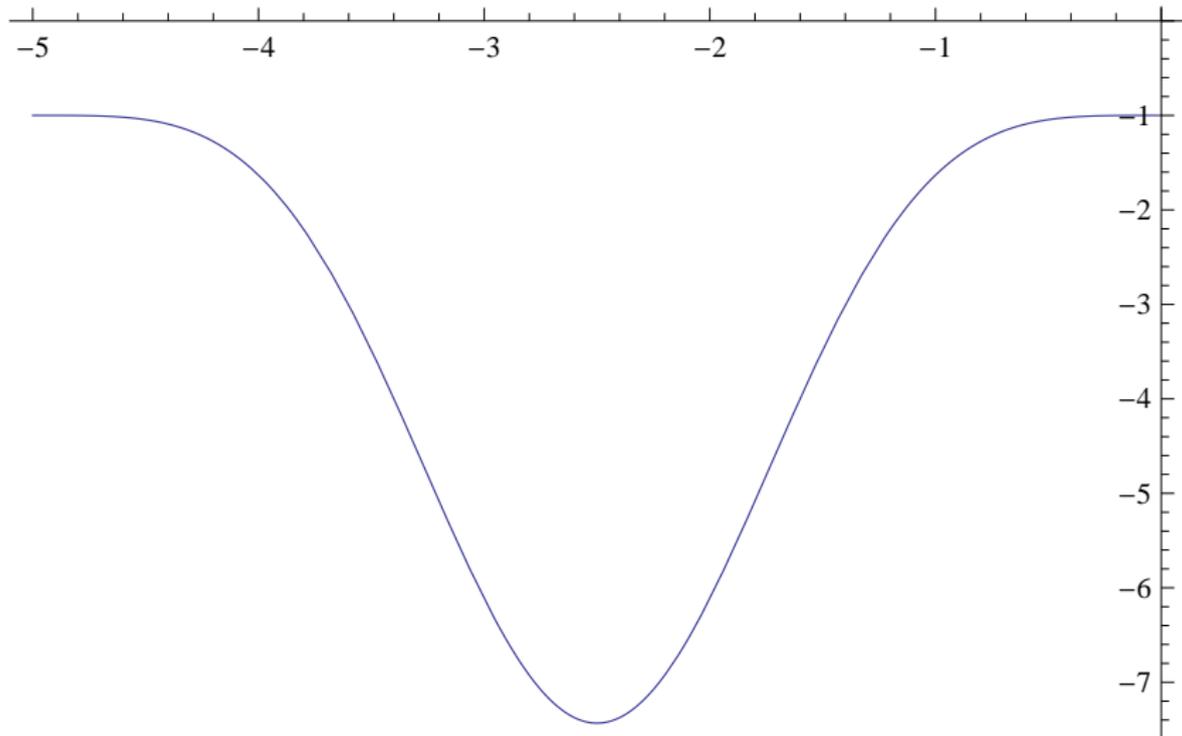
(logarithmic capacity of  $\mathbb{C} \setminus D$  w.r.t.  $z$ )

$c_D|dz|$  is an invariant metric (Suita metric)

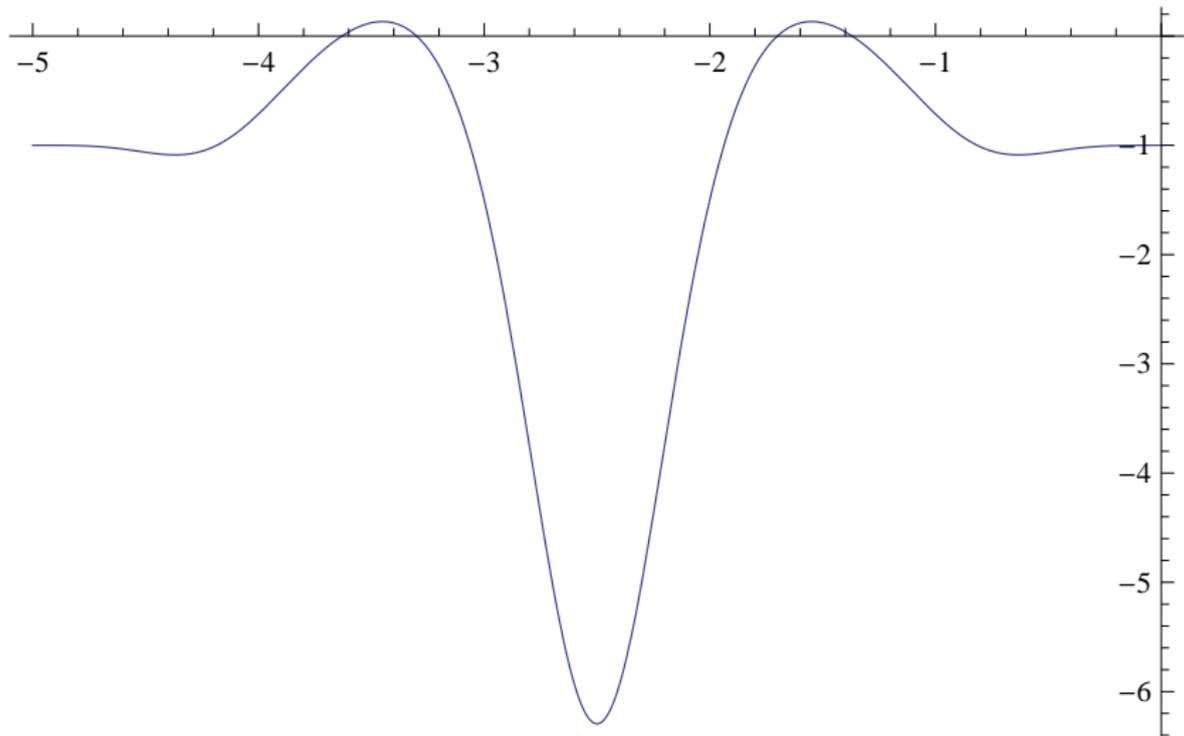
$$\text{Curv}_{c_D|dz|} = -\frac{(\log c_D)_{z\bar{z}}}{c_D^2}$$

Suita Conjecture (1972):  $\text{Curv}_{c_D|dz|} \leq -1$

- “=” if  $D$  is simply connected
- “<” if  $D$  is an annulus (Suita)
- Enough to prove for  $D$  with smooth boundary
- “=” on  $\partial D$  if  $D$  has smooth boundary



$Curv_{CD}|dz|$  for  $D = \{e^{-5} < |z| < 1\}$  as a function of  $\log|z|$



$Curv_{(\log K_D)z\bar{z}}|dz|^2$  for  $D = \{e^{-5} < |z| < 1\}$  as a function of  $\log |z|$

$$\frac{\partial^2}{\partial z \partial \bar{z}} (\log c_D) = \pi K_D \quad (\text{Suita})$$

Therefore the Suita conjecture is equivalent to

$$c_D^2 \leq \pi K_D.$$

Ohsawa (1995) observed that it is really an extension problem: for  $z \in D$  find holomorphic  $f$  in  $D$  such that  $f(z) = 1$  and

$$\int_D |f|^2 d\lambda \leq \frac{\pi}{(c_D(z))^2}.$$

Using the methods of the Ohsawa-Takegoshi extension theorem he showed the estimate

$$c_D^2 \leq C \pi K_D$$

with  $C = 750$ .

$C = 2$  (B., 2007)

$C = 1.95388\dots$  (Guan-Zhou-Zhu, 2011)

Ohsawa-Takegoshi extension theorem (1987)

with optimal constant (B., 2013)

$0 \in D \subset \mathbb{C}$ ,  $\Omega \subset \mathbb{C}^{n-1} \times D$ ,  $\Omega$  pseudoconvex,

$\varphi \in PSH(\Omega)$

$f$  holomorphic in  $\Omega' := \Omega \cap \{z_n = 0\}$

Then there exists a holomorphic extension  $F$  of  $f$  to  $\Omega$  such that

$$\int_{\Omega} |F|^2 e^{-\varphi} d\lambda \leq \frac{\pi}{c_D(0)^2} \int_{\Omega'} |f|^2 e^{-\varphi} d\lambda'.$$

For  $n = 1$  and  $\varphi \equiv 0$  we get the Suita conjecture.

Main tool: Hörmander's estimate for  $\bar{\partial}$

B.-Y. Chen (2011) proved that the Ohsawa-Takegoshi theorem (without optimal constant) follows from Hörmander's estimate.

# Tensor Power Trick

We have

$$K_{\Omega}(w) \geq \frac{1}{c_n \lambda(\{G_w < -1\})}$$

where  $c_n = (1 + 4/Ei(n))^2$ .

Take  $m \gg 0$  and set  $\tilde{\Omega} := \Omega^m \subset \mathbb{C}^{nm}$ ,  $\tilde{w} := (w, \dots, w)$ . Then

$$K_{\tilde{\Omega}}(\tilde{w}) = (K_{\Omega}(w))^m, \quad \lambda_{2nm}(\{G_{\tilde{w}} < -1\}) = (\lambda_{2n}(\{G_w < -1\}))^m.$$

Therefore

$$K_{\Omega}(w) \geq \frac{1}{c_{nm}^{1/m} \lambda(\{G_w < -1\})}$$

but

$$\lim_{m \rightarrow \infty} c_{nm}^{1/m} = e^{2n}.$$

Repeating this argument for any sublevel set we get

**Theorem 1** Assume  $\Omega$  is pseudoconvex in  $\mathbb{C}^n$ . Then for  $t \leq 0$  and  $w \in \Omega$

$$K_{\Omega}(w) \geq \frac{1}{e^{-2nt} \lambda(\{G_w < t\})}.$$

Lempert recently noticed that this estimate can also be proved using Berndtsson's result on positivity of direct image bundles.

What happens when  $t \rightarrow -\infty$ ?

For  $n = 1$  we get  $K_{\Omega} \geq c_{\Omega}^2/\pi$  (another proof of Suita Conjecture).

**Theorem 2** If  $\Omega$  is a convex domain in  $\mathbb{C}^n$  then for  $w \in \Omega$

$$K_{\Omega}(w) \geq \frac{1}{\lambda(I_{\Omega}(w))},$$

$I_{\Omega}(w) = \{\varphi'(0) : \varphi \in \mathcal{O}(\Delta, \Omega), \varphi(0) = w\}$  (Kobayashi indicatrix).

# Mahler Conjecture

$K$  - convex symmetric body in  $\mathbb{R}^n$

$$K' := \{y \in \mathbb{R}^n : x \cdot y \leq 1 \text{ for every } x \in K\}$$

Mahler volume  $:= \lambda(K)\lambda(K')$

Mahler volume is an invariant of the Banach space defined by  $K$ : it is independent of linear transformations and of the choice of inner product.

**Santaló Inequality (1949)** Mahler volume is **maximized** by balls

**Mahler Conjecture (1938)** Mahler volume is **minimized** by cubes

Hansen-Lima bodies: starting from an interval they are produced by taking products of lower dimensional HL bodies and their duals.

$n = 2$ : square

$n = 3$ : cube & octahedron

$n = 4$ : ...

Bourgain-Milman (1987) There exists  $c > 0$  such that

$$\lambda(K)\lambda(K') \geq c^n \frac{4^n}{n!}.$$

Mahler Conjecture:  $c = 1$

G. Kuperberg (2006)  $c = \pi/4$

Nazarov (2012)

- ▶ equivalent SCV formulation of the Mahler Conjecture via the Fourier transform and the Paley-Wiener theorem
- ▶ proof of the Bourgain-Milman Inequality ( $c = (\pi/4)^3$ ) using Hörmander's estimate for  $\bar{\partial}$

$K$  - convex symmetric body in  $\mathbb{R}^n$

Nazarov: consider  $T_K := \text{int}K + i\mathbb{R}^n \subset \mathbb{C}^n$ . Then

$$\left(\frac{\pi}{4}\right)^{2n} \frac{1}{(\lambda_n(K))^2} \leq K_{T_K}(0) \leq \frac{n!}{\pi^n} \frac{\lambda_n(K')}{\lambda_n(K)}.$$

Therefore

$$\lambda_n(K)\lambda_n(K') \geq \left(\frac{\pi}{4}\right)^{3n} \frac{4^n}{n!}.$$

To show the lower bound we can use Theorem 2:

$$K_{T_K}(0) \geq \frac{1}{\lambda_{2n}(I_{T_K}(0))}.$$

**Proposition**  $I_{T_K}(0) \subset \frac{4}{\pi}(K + iK)$

In particular,  $\lambda_{2n}(I_{T_K}(0)) \leq \left(\frac{4}{\pi}\right)^{2n} (\lambda_n(K))^2$

**Conjecture**  $\lambda_{2n}(I_{T_K}(0)) \leq \left(\frac{4}{\pi}\right)^n (\lambda_n(K))^2$

## Lempert Theory (1981)

$\Omega$  - bounded strongly convex domain in  $\mathbb{C}^n$  with smooth boundary  
 $\varphi \in \mathcal{O}(\Delta, \Omega) \cap C(\bar{\Delta}, \bar{\Omega})$  is a geodesic if and only if  $\varphi(\partial\Delta) \subset \partial\Omega$   
and there exists  $h \in \mathcal{O}(\Delta, \mathbb{C}^n) \cap C(\bar{\Delta}, \mathbb{C}^n)$  s.th. the vector  $e^{it} \overline{h(e^{it})}$  is outer normal to  $\partial\Omega$  at  $\varphi(e^{it})$  for every  $t \in \mathbb{R}$ .  
 $\exists F \in \mathcal{O}(\Omega, \Delta)$  a left-inverse to  $\varphi$  (i.e.  $F \circ \varphi = id_{\Delta}$ ) s.th.

$$(z - \varphi(F(z))) \cdot h(F(z)) = 0, \quad z \in \Omega.$$

## Lempert's Theory for Tube Domains (S. Zając, 2013)

$\Omega = T_K$ , where  $K$  is smooth and strongly convex in  $\mathbb{R}^n$   
Since  $\text{Im}(e^{it} \overline{h(e^{it})}) = 0$ ,  $h$  must be of the form

$$h(\zeta) = \bar{w} + \zeta b + \zeta^2 w$$

for some  $w \in \mathbb{C}^n$  and  $b \in \mathbb{R}^n$ . Therefore

$$\text{Re} \varphi(e^{it}) = \nu^{-1} \left( \frac{b + 2\text{Re}(e^{it} w)}{|b + 2\text{Re}(e^{it} w)|} \right),$$

where  $\nu : \partial K \rightarrow S^{n-1}$  is the Gauss map.

By the Schwarz formula

$$\varphi(\zeta) = \frac{1}{2\pi} \int_0^{2\pi} \frac{e^{it} + \zeta}{e^{it} - \zeta} \nu^{-1} \left( \frac{b + 2\operatorname{Re}(e^{it}w)}{|b + 2\operatorname{Re}(e^{it}w)|} \right) dt + i\operatorname{Im} \varphi(0).$$

If  $K$  is in addition symmetric then all geodesics in  $T_K$  with  $\varphi(0) = 0$  are of the form

$$\varphi(\zeta) = \frac{1}{2\pi} \int_0^{2\pi} \frac{e^{it} + \zeta}{e^{it} - \zeta} \nu^{-1} \left( \frac{\operatorname{Re}(e^{it}w)}{|\operatorname{Re}(e^{it}w)|} \right) dt$$

for some  $w \in (\mathbb{C}^n)_*$ . Then

$$\varphi'(0) = \frac{1}{\pi} \int_0^{2\pi} e^{it} \nu^{-1} \left( \frac{\operatorname{Re}(e^{it}\bar{w})}{|\operatorname{Re}(e^{it}\bar{w})|} \right) dt$$

parametrizes  $\partial I_{T_K}(0)$  for  $w \in S^{2n-1}$ .

**Conjecture**  $\lambda_{2n}(I_{T_K}(0)) \leq \left(\frac{4}{\pi}\right)^n (\lambda_n(K))^2$